

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIPT ACCOUNTING
DIVISION

1999 APR 30 PM 1:58

Application of:

SLOO, MARSHALL A.

Serial No.: 09/259,427

Filed: 02/26/99

INCIDENT REPORTING SYSTEM AND
METHOD

COMPLETED

Group Art Unit No. 276

Examiner:

RECEIVED
99 MAY 17 AM 7:17
GROUP 2700

Assistant Commissioner of Patents
ATTN: Refund Section, Accounting Division
Office of Finance
Washington, D.C. 20231

Sir:

I hereby certify that this document is being
deposited with the United States Postal
Service as first class mail in an envelope
addressed to the Assistant Commissioner of
patents, Washington, D.C. 20231, on this

27th day of April 1999

HOVEY, WILLIAMS, TIMMONS & COLLINS

By Julie M. Zell

REQUEST FOR REFUND

Enclosed please find a copy of the Verified Statement Claiming Small Entity Status for Marshall A. Sloo, together with a copy of our check in the sum of \$65.00 and copies of the Submission of Missing Parts and return postcard. The above-referenced application was filed on February 26, 1999 and a filing fee of \$760.00 was paid as "other than a small entity". Accordingly, it is requested that a credit of \$380.00 be applied to Deposit Account 19-0522.

Respectfully submitted,

HOVEY, WILLIAMS, TIMMONS & COLLINS

By

Thomas B. Luebbering
Thomas B. Luebbering, Reg. No. 37,874
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
816/474-9050

ATTORNEYS FOR APPLICANT

(Docket No. 27080)

Applicant or Patentee SLOO, MARSHALL A.	Attorney's Docket No. 27080
Serial or Patent No:	
File or Issued:	
For: INCIDENT REPORTING SYSTEM AND METHOD	

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled described in

- ☒ the specification filed herewith
- ☐ application serial no. , filed
- ☐ patent no. , issued

RECEIVED
MAY 17 AM 7:17
GROUP 2700

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:


- ☒ no such person, concern or organization
- ☐ persons, concerns or organizations listed below*

Name
Address
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> SMALL BUSINESS CONCERN <input type="checkbox"/> NONPROFIT ORGANIZATION
Name
Address
<input type="checkbox"/> INDIVIDUAL <input type="checkbox"/> SMALL BUSINESS CONCERN <input type="checkbox"/> NONPROFIT organization

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Inventor MARSHALL A. SLOO	Name of Inventor	Name of Inventor
	Signature of Inventor	Signature of Inventor
Date 5-99	Date	Date

CASE:

MAILED:

SLOO, MARSHALL A.

Docket No. 27080

09/259,427

02/26/99

April 1, 1999

INCIDENT REPORTING SYSTEM AND METHOD

INSTRUMENT
COVERSHEET IN RESPONSE TO NOTICE TO FILE MISSING
PARTS DATED 3/19; PTO COPY OF NOTICE; OATH AND
DECLARATION; SMALL ENTITY STATUS FORM; CHECK IN
AMOUNT OF \$65; RETURN POSTCARD

The official date stamp of the United States Patent & Trademark Office hereon is
evidence of the fact that subject instrument for the above-identified case has been
received by the United States Patent & Trademark Office.

HOVEY, WILLIAMS, TIMMONS & COLLINS

2405 GRAND BLVD., SUITE 400
KANSAS CITY, MISSOURI 64108

UNITED MISSOURI BANK
KANSAS CITY, MISSOURI

18-69/1010

CHECK NO.
00079184

H 79184

** SIXTY FIVE DOLLARS AND 00 CENTS **

PAY
TO THE
ORDER
OF

COMMISSIONER OF PATENTS & TRADEMARKS

DATE
03/31/99

AMOUNT
*****65.00

MEMO:DKT:27080

[Signature]
[Signature]

⑈079184⑈ ⑆101000695⑆ ⑈9801205994⑈

CASE:

SLOO, MARSHALL A.

Docket No. 27080

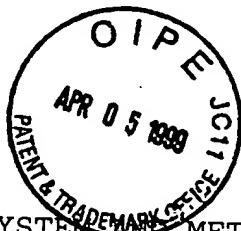
09/259,427

02/26/99

INCIDENT REPORTING SYSTEM AND METHOD

MAILED:

April 1, 1999



INSTRUMENT
COVERSHEET IN RESPONSE TO NOTICE TO FILE MISSING
PARTS DATED 3/19; PTO COPY OF NOTICE; OATH AND
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

SLOO, MARSHALL A.

Serial No. : 09/259,427

Filed: 02/26/99

INCIDENT REPORTING SYSTEM AND
METHOD

Group Art Unit No. 2761

Examiner:

Assistant Commissioner of Patents
Attention: Box Missing Parts
Washington, D.C. 20231

I hereby certify that this document is being
deposited with the United States Postal
Service as first class mail in an envelope
addressed to the Assistant Commissioner of
patents, Washington, D.C. 20231, on this

1st day of April 19 99
HOVEY, WILLIAMS, TIMMONS & COLLINS
By Julie M. Zalk

Sir:

SUBMISSION OF MISSING PARTS

In response to the "Notice to File Missing Parts" dated March 19, 1999 (copy appended), enclosed herewith find the following:

1. A Combined Declaration and Power of Attorney executed by MARSHALL A. SLOO;
2. A Declaration claiming small entity status; and
3. A check in the amount of \$65 to cover the surcharge in connection with the late filing of the Declaration.

Should any further fees be required, the Office is authorized to deduct the same from Deposit Account No. 19-0552.

Respectfully submitted,

HOVEY, WILLIAMS, TIMMONS & COLLINS

By

Thomas B. Luebbering
Thomas B. Luebbering, Reg. No. 37,874
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
816/474-9050

(Docket No. 27080)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/259,427 02/26/99 SLOO M 27080

0222/0319

THOMAS B LUEBBERING
HOVEY WILLIAMS TIMMONS & COLLINS
2405 GRAND BOULEVARD
SUITE 400
KANSAS CITY MO 64108

NOT ASSIGNED

2761

DATE MAILED:

03/19/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

ATTENTION ATTENTION ATTENTION

Date Processed:

09/25/94/27

☐

ACH Payment

Attached Is The Most Current ACH Information

☐

Treasury Check

The Most Current ACH Information Was
Requested From This Customer With The Purpose Of
Processing His Refund Request By Electronic Fund
Transfer (EFT). No EFT Information Was Received.

Therefore

A Check Will Be Issued.

☒

Credit To Deposit Account

This Request for Refund Will Be Processed By Crediting Deposit

Account Number 19-0522.

ATTENTION ATTENTION ATTENTION

Adjust Transactions

Search by:

☒ Accounting Date: Operator ID:
(MMDDYY)

Accounting Date
Start: End:



☒ Name/Number:
☐ Attny Docket No.:
☐ Deposit Account No.:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Attny Dckt
04/08/1999	TLUU11	10	SALE	205	A	65.00	09259427	27080
03/12/1999	DFLOYD	2	SALE	101	A	380.00	09259427	27080
03/12/1999	DFLOYD	1	SALE	101	A	380.00	09259427	27080



Adjustment date: 05/14/1999 DERRIGA
03/12/1999 DFLOYD 00000001 190522 09259427
01 FC:101 380.00 CR -380.00 DP

05/14/1999 DERRIGA 00000012 09259427

01 FC:201 380.00 DP